

FATCA INDIVIDUAL CHECKLIST

With reference to the customer Declaration for Individual accounts and Account Opening application, Kindly complete below FATCA related checklist

CIF Number: _____ Customer Name : _____ Date : _____

BANK USE	
Indicia of US Status	
Is the customer US national/Resident/Green Card Holder/US Tax Payer ? <i>(If YES, collect W9 Form)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Is the customer born in USA ? <i>(If YES, and not a US national, collect W-8BEN & certificate of Loss of Nationality)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Has the account holder granted Power of Attorney to a person with the USA address ? <i>(If YES, collect W-8BEN/W9 Form as applicable)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does the account holder have a USA mailing/residential address (including PO Box) ? <i>(If YES, collect W-8BEN/W9 Form as applicable)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Does the account holder have a USA telephone number ? <i>(If YES, collect W-8BEN/W9 Form as applicable)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
Are there any standing instructions to transfer funds to an account in The United States of America ? <i>(If YES, collect W-8BEN/W9 Form as applicable)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
FATCA Classification of Customer – U S Relation	
<input type="checkbox"/> Yes Reportable - (W9 Form) <input type="checkbox"/> Yes Not Reportable - (W - 8BEN Form) <input type="checkbox"/> No	
TIN (Tax Identification Number): _____	
<input type="checkbox"/> Recalcitrant Customer (Seek AML Compliance approval)	
Recalcitrant Customers are considered to be the existing customers who refuse to provide information to the Bank	

Documents grid for US status indicia's identified by bank staff in addition to self-attestation : For Reference only

Customer confirmation on US status	US Place of Birth	US Mailing/Residential Address	US Telephone Number	US POA Address	Standing Instruction to US
YES	Collect W 9 & Update TIN (Tax Identification Number)	Collect W 9 & Update TIN (Tax Identification Number)	Collect W 9 & Update TIN (Tax Identification Number)	Collect W 9 & Update TIN (Tax Identification Number)	Collect W 9 & Update TIN (Tax Identification Number)
NO	Collect W-8BEN & Certificate of Loss of Nationality	Collect W-8BEN	Collect W-8BEN	Collect W-8BEN	Collect W-8BEN

Checked By _____

Verified By _____